

**SUPPLEMENTAL BENEFITS FUND  
STEERING COMMITTEE  
(SBF)**

**NOTICE OF FUNDS AVAILABLE (NOFA)**

**2018 NOFA GUIDELINES &  
APPLICATION**



**SUPPLEMENTAL BENEFITS FUND  
CITY OF OROVILLE FUND ADMINISTRATOR**

**SEPTEMBER 6, 2018**


***Note: A guide to acronyms used in the  
grant process can be found on page 19***

**FUNDING PROVIDED BY**

**STATE WATER CONTRACTORS (SWC)  
&  
STATE OF CALIFORNIA DEPARTMENT OF WATER RESOURCES (DWR)**

***As part of the Settlement Agreement for Licensing of the Oroville Facilities FERC Project No. 2100***

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## **GENERAL INFORMATION**

City of Oroville  
Supplemental Benefits Fund  
Fund Administrator  
1735 Montgomery Street  
Oroville, Ca 95965

**Questions may be directed to:**

**SBF Program Specialist**  
**Phone:** (530) 538 - 2518  
**Email:** [sbf@cityoforoville.org](mailto:sbf@cityoforoville.org)  
**Website:** [www.cityoforoville.org](http://www.cityoforoville.org)

The Supplemental Benefits Fund SBF Steering Committee will hold a grant application workshop to explain the application process and program requirements.

**The workshop will be held on:**

**THURSDAY, October 4, 2018, FROM 1:00 P.M. UNTIL 3:00 P.M.**

City of Oroville Council Chambers  
1735 Montgomery Street, Oroville, CA 95965

Please RSVP to: (530) 538-2535 or email at [SBF@cityoforoville.org](mailto:SBF@cityoforoville.org)  
**NO LATER THAN: October 2, 2018**

**DELIVER COMPLETED APPLICATIONS BY  
4:00 P.M. (PDT) THURSDAY, OCTOBER 25, 2018  
TO:**

City of Oroville  
Supplemental Benefits Fund  
Fund Administrator  
Attention: SBF Program Specialist  
1735 Montgomery Street  
Oroville, Ca 95965

**FAXED OR EMAILED APPLICATIONS WILL NOT BE ACCEPTED**

## I. INTRODUCTION AND OVERVIEW

### SUPPLEMENTAL BENEFITS FUND

The Supplemental Benefits Fund (“SBF”), the result of a Settlement Agreement signed by multiple parties associated with the re-licensing of the Oroville Facilities (FERC Project No.2100), will make available up to approximately \$61 million (in nominal dollars) over the next 30 to 50 years, depending on the term of the license when approved by the Federal Energy Regulatory Commission (“FERC”).

### 2018 NOTICE OF FUNDS AVAILABLE DETERMINATION

Pending the approval of the FERC licensing of the Oroville Facilities advance funds have been made available by DWR to the SBF for Administration, Project requests and Marketing Community Benefit requests that meet the requirements established by the SBF Steering Committee.

A determination was made on, July 25, 2018, by the SBF Steering Committee that \$2,530,000 would be made available and offered through the 2018 Notice of Funds Available (“NOFA”). **The SBF Steering Committee’s approval provided that funds be offered in the following categories which is consistent to those approved in the Regional Fund Strategic Plan (“RFSP”).**

#### **MARKETING/COMMUNITY BENEFIT FUND \$230,000**

**\$25,000 minimum request  
25% minimum matching funds requirement (cash and/or in-kind)  
Requires completion of application located in Appendix x, pages xxx**

**Project Fund \$2,070,000 and Revolving Loan Fund (RLF) \$230,000  
(Note an applicant may request a higher RLF amount which would be subtracted from the available Projects Fund)**

**\$25,000 minimum request  
50% minimum matching funds requirement (cash and/or in kind)  
Requires completion of application located in Appendix M, pages 31 – 42.**

**MARKETING/COMMUNITY BENEFIT FUND (\$230,000 available)** is intended to directly fund projects that are consistent with the Settlement Agreement and SBF Mission. To be considered for funding application requests must be within the Greater Oroville Area as defined by the SBF Priority Consideration Map on page 7

1. Drawing on findings of the SBF Opportunities Analysis, fund coordination of marketing efforts between various entities and agencies that all market activity in the Oroville Region. Specific strategies summarized in the Opportunities Analysis include:

- Actively manage and coordinate media communications and publications.
- Improve signage and way-finding (orienting visitors toward and between existing and planned recreation and tourism assets).
- Conduct joint marketing of business development and tourism (e.g. - market tourism while promoting quality-of-life attributes to prospective businesses and employers).
- Emphasize tourism marketing or promotion with appropriate connections to the City, County, and other special agency economic development strategies.
- Community assistance projects (e.g. - volunteer work-days, community clean-up efforts, local/neighborhood park renovations, or elderly assistance projects).
- Seasonal celebrations (e.g. - crop/harvest celebrations, Halloween, or parades).
- Local activities/events (e.g., - cultural events or public agency major milestones).
- Sporting events with regional draw (e.g. - triathlons or fishing tournaments).
- Locally produced public art celebrating the heritage/identity of the Oroville Region.

**In general, projects funded through this category should support local businesses, attract new visitors to the Oroville Region—for overnight trips or multiple days, if possible—provide an opportunity for attendees/participants to spend their retail dollars in the Oroville Region and reflect a collaborative effort by multiple groups or agencies pursuing community development, tourism, or recreation goals.**

**PROJECTS FUND (\$2,070,000 available)** is intended to directly fund projects that are consistent with the Settlement Agreement and SBF Mission & Vision Statements. To be considered for funding, application requests must be within one, or more, of the six “reaches”<sup>1</sup> as defined in the **Feather River Conceptual Plan a Vision for the Low Flow Channel of the Feather River (FRCP)**, (*approved by the SBF Steering committee in 2017*) The “reaches” are defined as:

- Reach 1: Diversion Pool Area
- Reach 2: Fish Barrier Pool Area
- Reach 3: Historic Downtown District
- Reach 4: Riverbend Park Area
- Reach 5: Oroville Wildlife Area
- Reach 6: Thermalito Forebay Area

The intent of the FRCP, as envisioned and funded by the SBF Steering Committee, was to consolidate into a single document all the best ideas from existing Oroville plans and includes future river-related projects that might best improve the quality of life and stimulate economic development in the greater Oroville Region. The FRCP also strengthens the definition of the Feather River’s “riverscape” and its distinctive identity. It is in the interest of the community that approved projects gain the fullest of the benefits intended by the Settlement Agreement, by focusing and leveraging projects approved by the SBF into successful, river-orientated public-private partnerships and other potential collaborative opportunities.

**REVOLVING LOAN FUND (\$230,000 available)** is intended to provide funds, similar to a bank loan, to applicants that foresee the ability to pay funds, plus interest, back to the SBF which ultimately could then make the repaid funds available in a future NOFA. Project requests for the RLF will be evaluated as part of the same process used for applicants seeking approval through the Project Fund. Requests can be greater than the available amount which would reduce available funds in the Project Fund. Terms and conditions of the RLF will be negotiated with the applicant if the request is approved.

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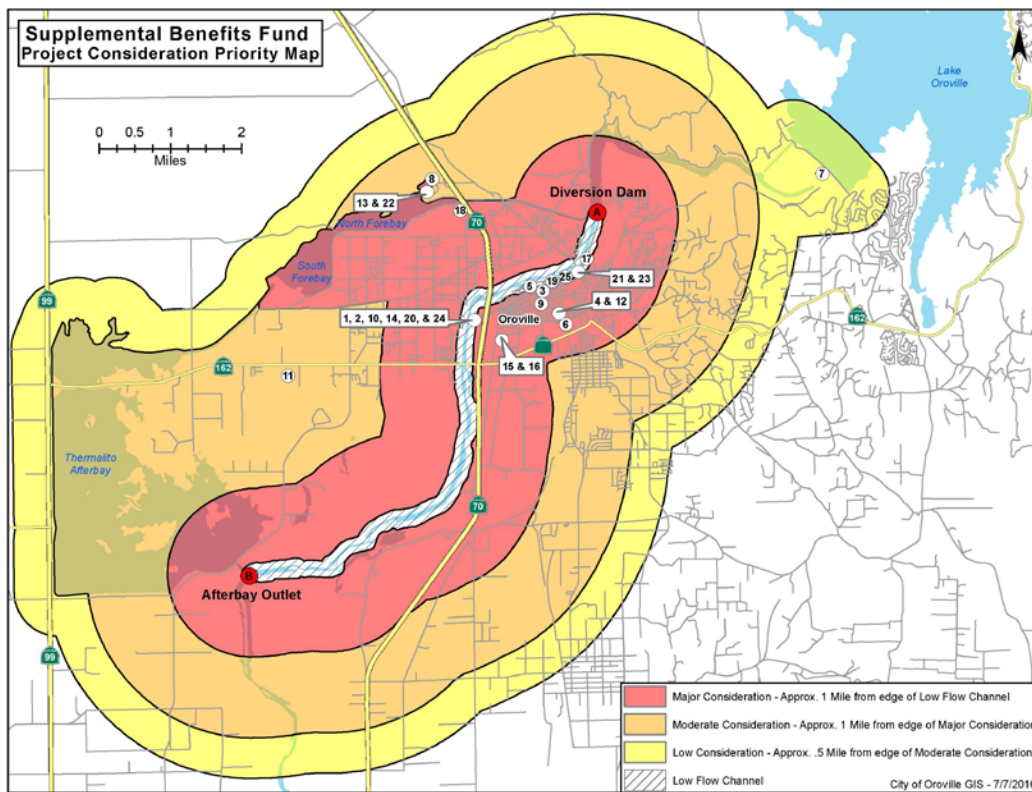
<sup>1</sup> “Reaches” in the FRCP provide for a distinct/clear definition of consideration areas.

## ELIGIBLE APPLICANTS

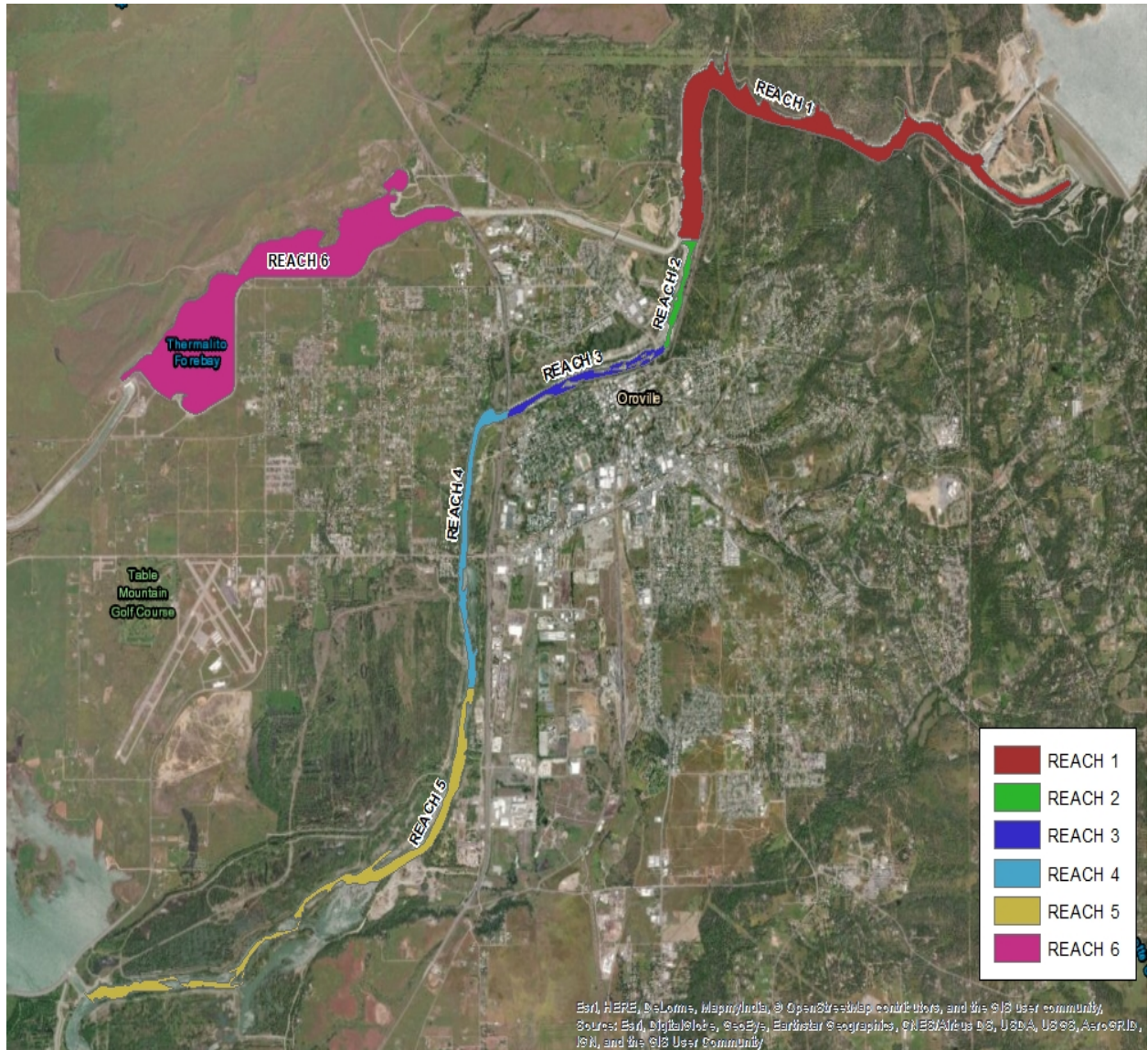
- Local Government Agencies
- Non-profits
- and others

## POTENTIAL PROJECT AREAS

- **Marketing/Community Benefit Fund:** within the Greater Oroville Area as defined by the Project Priority Map approved by the SBF Steering Committee (2016)



- **PROJECT FUND:** as defined by the Feather River Conceptual Plan, A Vision for the Low Flow Channel of the Feather River (Click here for a full image of the map)





## **II. GENERAL GRANT INFORMATION**

The RFSP does not include a set of recommended SBF projects; rather it establishes a process for selecting and funding approved projects over time. During the initial stakeholder meetings in 2004, a list was developed from community input and used as a basis of negotiating the Settlement Agreement. The list included projects totaling \$111,384,543. Half of that amount plus funds previously provided by DWR for Phase I and II of Riverbend Park totaled \$61,270,000 which was used to establish the base of potential funds to be made available, assuming issuance of a fifty-year license, to the SBF for projects and administration.



*Water funds the SBF*

## **III. THE PROJECT APPLICATION AND SELECTION PROCESS**

### **i. Eligibility**

All projects that are consistent with the SBF Vision Statement, Appendix (C) page 19, and are located outside of the Oroville Facilities boundary, (FERC boundary) are eligible. At DWR's sole discretion, and subject to additional approvals, the SBF may be used to support projects located within the Oroville Facilities FERC boundary. (see map on page 26)

### **ii. Operating Expense Considerations**

In consideration of the long-term maintenance and operation expenses of a project, project applicants must present a plan, if appropriate, that addresses maintenance and operations.

### **C. Additional Information**

To create public confidence and transparency along with a technically sound selection process given the wide range of potential projects, the competing interests, and the limited funding available, the established formal decision-making process includes:

- Provides assistance to applicants proposing projects  
**(Workshop, Thursday, October 4, 2018)**
- Adheres to a set of clear screening criteria (to determine whether a project even qualifies)
- Allows the SBF Steering Committee to select projects for funding
- Contains a process of annual appropriation of available funds, consistent with project priorities



*Historic Table Mountain Bridge over the Feather River*

- D. 2018 project application and selection process flow chart (next page)**



**NOFA ISSUED  
September 6, 2018**

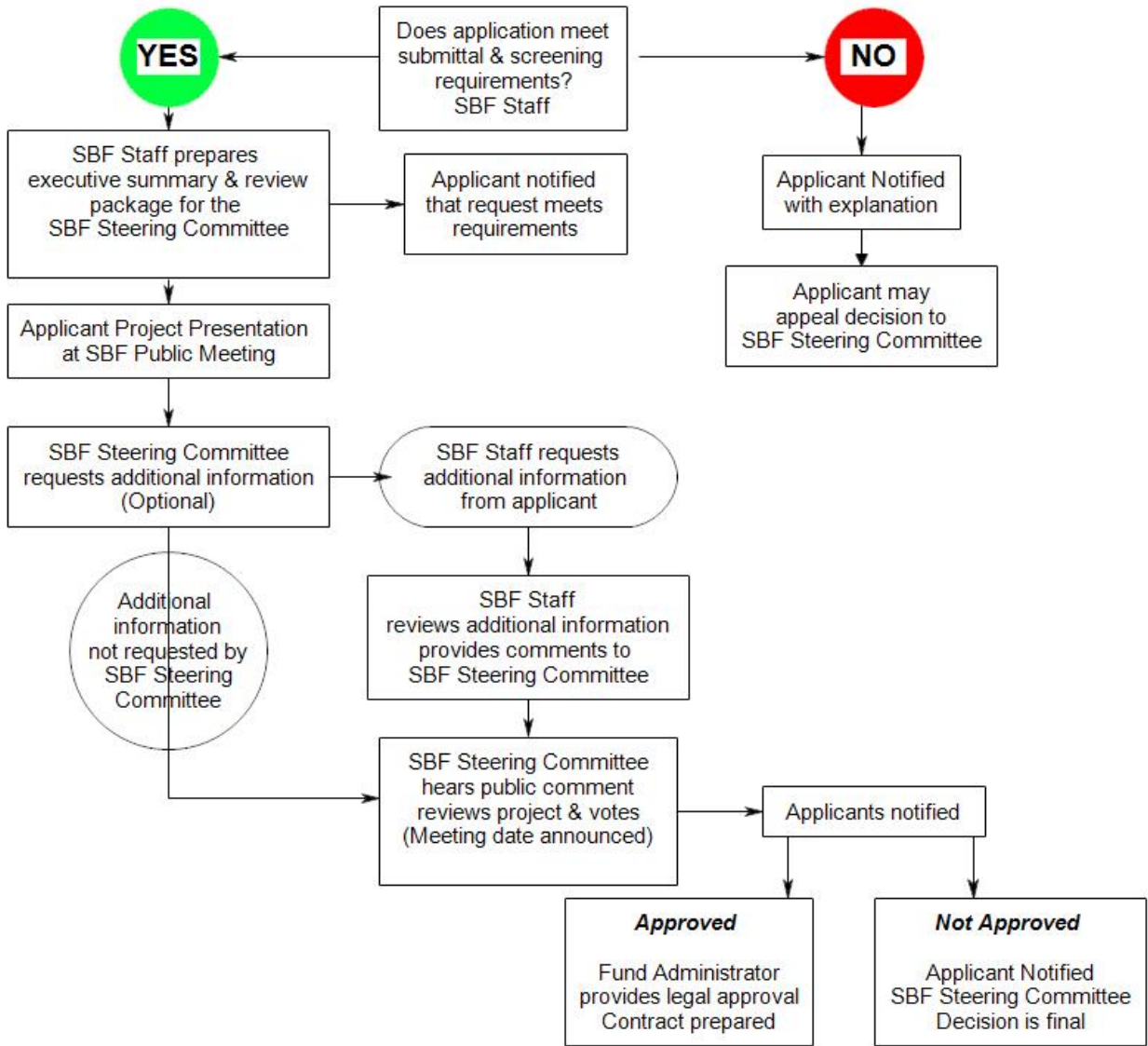
**Workshop for Applicants  
Thursday, October 4, 2018**

SBF Program Specialist  
provides guidance to applicants

*Submit Applications to:  
City of Oroville, Fund Administrator  
1735 Montgomery Street  
Oroville, CA 95965*

*No later than 4:00P.M.  
October 25, 2018*

**2018  
SBF  
NOFA  
Offering**



## 1. Project Application Submittal

**Note:** *The section titles used by Applicants to represent Project elements should be consistent throughout the application, including scope, timeline, and cost estimates.*

The Grant Application is comprised of the following sections:

- Applicant Information
- Description of Project
- Project Selection Criteria
- Consistency with SBF Goals
- Ability to Attract Marching Funding
- Ability to Pay Back Funding (if applicable)
- Project Readiness
- Funding for Operations and Maintenance
- Nexus to the Feather River
- Potential to Enhance Local Jobs
- Consistency with other Capital Improvement Plans
- Enhance “Sustainable Development” Objectives
- Enhance Quality of Life for Local Residents and Attracting Visitors
- Additional Information (studies, data, or other information that might help the SBF Steering Committee evaluate the value of the proposed project)

The complete application is in Appendix M, pages 31 - 42 a printable copy of the application is available at [www.cityofroville.org](http://www.cityofroville.org) SBF information and forms. If using additional pages to complete the application, please use the appropriate titles (on each page) as listed above.

## 2. SBF Review of Applicant’s Project (Screening Process)

The next step involves a technical review of the submitted application considering basic Project Submittal Requirements, SBF Goals and Objectives, and a set of Screening Criteria. On the basis of these considerations, a decision would be made whether or not an application meets minimum requirements. If not, the

application would be respectfully rejected. This Initial Screening Process will eliminate projects that lack merit given the six following considerations:

1. Completeness of the Proposal.
2. Consistency with SBF Goals.
3. Feasibility study, conceptual plans and specifications.
4. Project Readiness (including availability of matching funds).
5. Availability of operation and maintenance funding (as may be required).
6. Consistency with the Feather River Concept Plan and the DWR Recreation Management Plan and other local plans and programs.

An applicant whose Project Concept application does not meet the Initial Screening Criteria has the right to appeal to the SBF Steering Committee, based upon appeal procedures established by the SBF Steering Committee. (see Appendix L, page 30)

### **3. Staff Assembly and Submittal of Proposed Projects**

SBF staff is available for direction to ensure applications are complete and ready for consideration. SBF staff will assemble all project proposals together and prepare packages for the SBF Steering Committees review.

Scoring Project Applications by the SBF Steering Committee will allow the establishment of a ranked order of based on objective criteria regarding their relative merit. Standards of measurement that are objective, applicable, and quantifiable have been established, including: The evaluation criteria are:

- Ability to attract matching funding.
- If appropriate, the ability to pay back funding to the SBF from revenues derived from investment.
- “Nexus” to the Feather River.
- Consistency with local plans.
- Potential to enhance local jobs or create training opportunities.
- Ability to enhance the quality of life for residents in the region and attract visitors.

The technical scoring of projects will be completed by the Steering Committee based upon the application submitted and a formal presentation by the project applicants.

A score<sup>2</sup> will be assigned based on the range of values for each criterion. Another aspect of the Project Evaluation Criteria is their relative importance. They may all have equal weight but more likely some may be more important than others. For this reason, the criteria themselves placed in rank order, the most heavily weighted first. A value is given to each, given their relative importance (sample scoring matrix is on page 29)

#### **4. SBF Steering Committee Project Selection Process**

The SBF Steering Committee will individually be provided a copy of the application, and the Executive Summary prior to the applications presentation at a Public Meeting. This provides time for the SBF Steering Committee members to review the request and formulate questions that they might have.



*Diversion Dam near the Feather River Fish Hatchery*

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<sup>2</sup> Scoring applications is one phase of the overall review process. Scores help the reviewer to use common factors for all applications. Scoring is a tool; however, the final decision of the SBF Steering Committee is by a majority vote as described on page 7.



## APPENDICES

Appendix A: Legal Notice

Appendix B. List of Acronyms

Appendix C. SBF Vision Statement

Appendix D: Regional Fund Strategic Plan

Appendix E: SBF Steering Committee

Appendix F: Feather River Conceptual Plan, *A Vision for the Low Flow Channel of the Feather River* (2017)

Appendix G: Prior SBF Funding

Appendix H: Project Application Requirements

Appendix I: FERC Boundary

Appendix J: Accounting/Audit Requirements

Appendix K: Information Scoring Matrix

Appendix L: Appeal Process

Appendix M: Formal Application



## **APPENDIX A**

### **I. 2018 NOFA LEGAL ANNOUNCEMENT**

Published on September 8th, 9th, 15th & 16<sup>th</sup> in the legal notices of the Oroville Mercury Register and the Chico Enterprise Record.

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### **SUPPLEMENTAL BENEFITS FUND (SBF)**

1735 MONTGOMERY STREET -- OROVILLE, CA 95965-4897  
(530) 538-2518 Fax (530) 538-2468



**NOTICE OF FUNDS AVAILABLE (NOFA)**  
**NOFA RELEASE DATE: THURSDAY SEPTEMBER 6, 2018**

**Applicant Workshop: Thursday, October 4, 2018<sup>3</sup>**  
**(Oroville City Hall 1735 Montgomery Street Oroville, CA**  
**1:00 to 3:00 P.M.)**

**The Supplemental Benefits Fund (SBF) Steering Committee announces a Notice of Funds Available (NOFA) for the 2018 funding cycle. A total of \$2,530,000 in funding will be made available by the SBF for the following categorical allocations:**

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<sup>3</sup> The Applicant Workshop provides background information about the SBF, each of the 2018 available categories & how to complete the application. Attendees will earn 5 bonus points for attending the workshop. Note: Point scoring is not the final decision for project approval. Final approval of any application requires the SBF Steering Committee to at a public meeting, have a motion, a second and a vote of a majority of one as detailed in the voting requirements established by Exhibit A, Settlement Agreement for the Licensing of the Oroville Facilities.

- **Marketing/Community Benefit Fund: \$230,000.00**  
((\$25,000 minimum request with 25% matching funds requirement)<sup>4</sup>)
- **Project Fund: \$2,070,000.00**  
((\$25,000 minimum requirements with 50% matching funds requirement)
- **Revolving Loan Fund: \$230,000**  
((\$25,000 minimum requirements with 50% matching funds requirement)

***SBF Mission Statement***

***Reconnecting the beauty and diversity of the Feather River with the community will be the primary component of projects approved by the Supplemental Benefits Fund Steering Committee. The approved projects will provide additional recreational opportunities and economic benefits that enhance the lifestyle of the Oroville Region.***  
(Excerpt from the SBF/Regional Fund Strategic Plan)

The NOFA, Grant Guidelines and application are available for review at:

- Oroville City Hall  
1735 Montgomery Street Oroville, CA 95965
- Feather River Recreation & Park District Activity Center (FRRPD)  
1875 Feather River Blvd. Oroville, CA 95965
- Electronically at: [www.cityoforoville.org](http://www.cityoforoville.org) (City Services/SBF)

**Specific project funding criteria** application details & information about the applicant workshop are outlined in the 2018 Grant Application and Program Guidelines which are available from the SBF Fund Administrator, City of Oroville, 1735 Montgomery Street, Oroville, CA 95965, (530) 538-2518, website: [www.cityoforoville.org](http://www.cityoforoville.org) or email: [sbf@cityoforoville.org](mailto:sbf@cityoforoville.org) to request a copy.

Written applications must be delivered as detailed in the guidelines and received by the City of Oroville, SBF Fund Administrator at its office 1735 Montgomery Street, Oroville, CA 95965 no later than 4:00 P.M. (PST) on Thursday, October 25, 2018.

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***Additional information about the Supplemental Benefits Fund is available at:***

***[www.cityoforoville.org](http://www.cityoforoville.org) (select Government, then Supplemental Benefits Fund)***

***Email: [SBF@cityoforoville.org](mailto:SBF@cityoforoville.org) (530) 538-215***

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<sup>4</sup> Matching funds are considered cash, grants from a source other than the SBF, in-kind funds such as donated property for the project, materials and/or labor. Verification of matching funds occur once a project request is approved.

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## APPENDIX B List of Acronyms

DWR.....	Department of Water Resources
EPS.....	Economic & Planning Systems, Inc.
FERC.....	Federal Energy Regulatory Commission
FRCP.....	Feather River Conceptual Plan, ..... A Vision for the Low Flow Channel of the Feather River
FRRPD.....	Feather River Recreation and Parks District
FTE.....	Full-time equivalent
NOFA.....	Notice of Funds Available
RFP.....	Request for Proposals
RFSP.....	Regional Fund Strategic Plan
RLF.....	Revolving Loan Fund
RMP.....	Recreation Management Plan
SBF.....	Supplemental Benefits Fund
SBF Rules of Governance.....	SBF Steering Committee Rules of Governance
Settlement Agreement.....	Settlement Agreement for Licensing the Oroville Facilities

### Helpful Electronic Resources

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City of Oroville: [www.cityoforoville.org](http://www.cityoforoville.org) (SBF Documents)  
Feather River Recreation Park District: [www.frrpd.com](http://www.frrpd.com)  
Department of Water Resources: [www.water.ca.gov](http://www.water.ca.gov)  
Department of Fish & Game: [www.dfg.ca.gov](http://www.dfg.ca.gov)  
State Water Contractors: [www.swc.org](http://www.swc.org)  
Oroville Area Chamber of Commerce: [www.orovilleareachamber.net](http://www.orovilleareachamber.net)



## Appendix C      SBF VISION STATEMENT

**NOTE: The SBF Steering Committee approved the following Vision Statement on July 7, 2010, to assist applicants in understanding approval consideration values.**

***Reconnecting the beauty and diversity of the Feather River with the community will be the primary component of projects approved by the Supplemental Benefits Fund SBF Steering Committee. The approved projects will provide additional recreational opportunities and economic benefits that enhance the lifestyle of the Oroville Region.***



The following rules of governance are provided for applicants requesting consideration for grant funding from the Supplemental Benefits Fund:

- II. **Major consideration:** The applicant ***shall*** provide a compelling presentation as to how the proposed project will assist in mitigating what was lost by the construction of the Oroville Dam Facilities (*FERC Project No. 2100*). The application ***shall*** take into consideration the various existing City of Oroville, Feather River Recreation and Park District plans, the Settlement Agreement for licensing of the Oroville Facilities FERC Project No. 2100, and other regional plans. (*Refer to page 3-2 of the RFSP*).
- III. **Moderate consideration:** The applicant ***should*** provide a meaningful connection to existing, or planned, facilities and projects. The application ***should*** also be in, or near, the low-flow channel of the Feather River (*defined in Figure 1-1 of the RFSP*) and assist in making the Oroville Region a Northern California destination.
- IV. **Low consideration:** The applicant's proposed project ***may*** be away from the low-flow channel of the Feather River, not connected to existing, or planned, facilities and projects, be unique, or a non-profit venture within the Oroville Region including areas under FERC jurisdiction.

## **APPENDIX D REGIONAL FUND STRATEGIC PLAN (EXECUTIVE SUMMARY)**

On April 22, 2010, the SBF Steering Committee approved the Regional Fund Strategic Plan (“RFSP”), which assures that approval of projects remains in sync with the intent of the SBF. The SBF Steering Committee identified the following mission statement for the SBF:

***“Investing in recreational and related projects with a nexus to the Feather River to improve the quality of life and stimulate economic development in the Oroville region.”***

Distribution of SBF funding will take place within the context of other ongoing processes and events. As stated by the SBF Steering Committee, “the intent of the RFSP is to get the most economic investment return from the approved projects as well as ensuring that a decorum of fairness exists in project selection.”

The Regional Fund Strategic Plan calls for projects to be consistent with the Department of Water Resources 2006 Recreation Management Plan. In addition, consideration as to how the proposed project will interface with the following Oroville Region supporting agencies should be a part of the application:

- City of Oroville (Infrastructure, safety, neighborhood leisure parks, trails, open space and museums).
- Department of Fish and Game (Fish hatchery and environmental mitigation).
- Department of Water Resources (Lake, River and Recreation Management Plan).
- Feather River Recreation and Park District (Parks, trails and recreation programs).
- Oroville Area Chamber of Commerce (Tourism and economic development).

## **APPENDIX E: SBF STEERING COMMITTEE (EXECUTIVE SUMMARY)**

SBF funded projects are selected by the SBF Steering Committee, comprised of appointed voting representatives from the Feather River Recreation and Parks District (FRRPD) Board of Directors and representatives from the City of Oroville City Council. Advisory representatives from American Rivers, the State Water Contractors, Department of Water Resources, and the Oroville Area Chamber of Commerce may provide comments but are not included in the voting decision. The City of Oroville also serves as the Fund Administrator, whose duties include ensuring performance of the SBF and overseeing administrative duties to operate the SBF on an ongoing basis. Upon approval of the FERC license, the State Water Contractors, in partnership with the Fund Administrator and SBF Steering Committee, also have the additional responsibility of actively pursuing grant opportunities beyond SBF funding.

At the start of each budget cycle, the SBF Steering Committee will distribute anticipated funding into one or more of the following categories:

- Administration
- SBF Projects
  - Large Award Fund
  - Revolving Loan Fund
- Marketing and Community Benefit Fund
- Marketing/Chamber of Commerce Fund
- Contingency Fund



*City of Oroville Chinese Brick Building Landscape Project*

## **Appendix F: Feather River Conceptual Plan, A Vision of the Low Flow Channel of the Feather River**

**The Feather River Conceptual Plan (FRCP)** was envisioned by the SBF Steering Committee as a way to consolidate into a single document all the best ideas from existing Oroville plans and includes future river -related projects that might best improve the quality of life and stimulate economic development in the Greater Oroville Region. Many projects might be collaboratively and synergistically implemented in coordination with the Department of Water Resources' implementation of requirements in and along the Feather River which are part of the Oroville Project (FERC No. 2100).

The FRCP provides detailed information and suggestions for six specific “reaches” along the Feather River:

- Reach 1: Diversion Pool Area
- Reach 2: Fish Barrier Pool Area
- Reach 3: Historic Downtown District
- Reach 4: Riverbend Park Area
- Reach 5: Oroville Wildlife Area
- Reach 6; Thermalito Forebay Area

The FRCP communicates a vision for each river reach by providing an umbrella strategy for the Feather River as a whole. The FRCMP is available by [clicking here](#) and is divided into the following chapters:

- Chapter1: Introduction and Background
- Chapter 2: The Master Plan Development Process
- Chapter 3: Project Recommendations
- Chapter 4: Economic Development Considerations and Strategies
- Chapter 6: Priorities and Next Steps

The appendices of the FRCP provide summaries of the stakeholder meetings including an extensive list of potential (suggested) projects, other existing plans leveraged in this effort and other existing conditions summaries of the Plan (reaches) area.

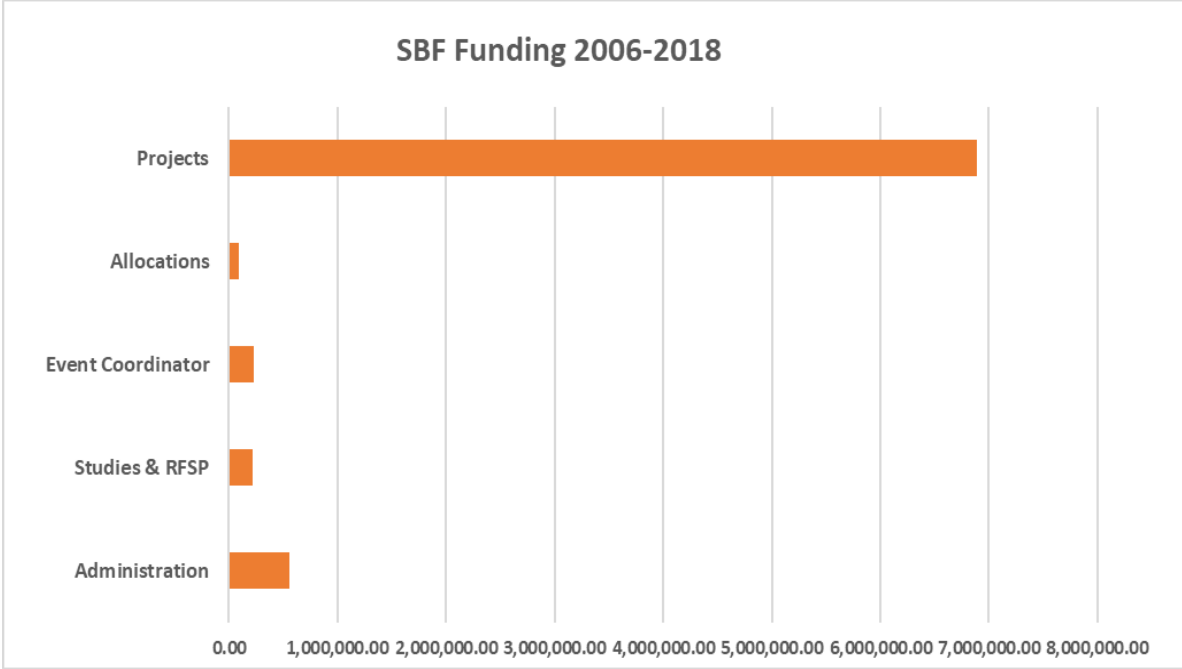
## Appendix G: Prior SBF Funding & Commitments

Year	Applicant	Project/Request	Amount	NOFA	Allocation
2002	DWR direct to FRRPD	Riverbend Park	3,000,000.00		X
2006	DWR direct to FRRPD	Riverbend Park	2,200,000.00		X
2008	FRRPD	All Purpose Fields at Riverbend Park	1,012,221.74	X	
2008	Table Mt. Golf Course	Golf Course Improvements	30,000.00	X	
2008	City of Oroville	Fire Safety Equipment	4,636.68	X	
		Landscaping Bolt Antique Tool			
2008	City of Oroville	Museum	4,000.00	X	
2008	Oroville Public Library	Community Room Refurbishing	4,835.00	X	
2008	Oroville YMCA	Swimming Pool Repairs	5,000.00	X	
2008	Artists of River Town	Metal Sculpture (Eagle)	5,000.00	X	
2008	Tree of Hope Foundation	Kids at Risk Program	5,000.00	X	
2008	Tree of Hope Foundation	Oroville Gone Wild Program	5,000.00	X	
2010	Oroville Chamber of Commerce	Marketing & Economic Development	76,427.85	X	
2011	City of Oroville	Police Gem/VIP Vehicles	35,098.49	X	
2011	Forebay Aquatic Center	Equipment & Operating Costs	46,000.00	X	
2011	Oroville Chamber of Commerce	Marketing & Economic Development	58,868.85	X	
2012	FRRPD	Web-site Improvements	6,500.00	X	
2012	FRRPD	Marketing Gymnastic Program	4,170.00	X	
2012	FRRPD	Disc Golf Promotion Program	1,000.00	X	
2012	Oroville Rotary Club	Metal Sculptures at FR Nature Center	3,624.00	X	
2012	City of Oroville	White Water Study	89,117.45		X
2012	Oroville Chamber of Commerce	Marketing & Economic Development	35,000.00	X	
2014	FRRPD	Irrigation at Nelson Complex	24,000.00	X	
2014	City of Oroville	Municipal Law Enforcement Officer	48,403.20	X	
2015	Forebay Aquatic Center	Equipment & Operating Costs	53,474.47	X	
2015	Oroville Tourism Committee	Maps and Brochures	9,969.23		X
2015	FRRPD	Children's' Playground at Riverbend	50,000.00	X	
2015	Oroville Veterans' Park	Capital Improvements	112,000.00	X	
2018	Oroville Chamber of Commerce	Marketing & Economic Development	50,000.00		X
2012-20	Upstate CE Foundation	Major Event Coordinator	228,500.00		X
2009-18	FRRPD, City of Oroville & SBF	Studies & the RFSP	218,643.31		X
2006-19	City of Oroville/pass through	Administration & Expenses of the SBF	555,480.50		X



Soccer/All Purpose Fields at Riverbend Park





**SBF funding from 2004 to 2018**

Riverbend Park Phase I & II	\$5,200,000.00	65.15%
Other Projects	\$1,687,229.51	21.14%
Studies & RFSP	\$218,643.31	2.74%
Event Coordinator	\$228,500.00	2.86%
Allocations	\$89,117.45	1.12%
Administration	\$558,480.50	7.00%
<b>Total:</b>	<b>\$7,981,970.77</b>	<b>100.00%</b>



## Appendix H Project Application Requirements

This guide is provided to assist you in completing the application. All screening criteria must be addressed to allow the application to proceed forward in the process.



**Initial Screening Criteria: (To be considered, Applicants must have the following mentioned items.)**

- |  |                    |
|--|--------------------|
| • <b>Completeness of Proposal</b>                              | <b>required</b>    |
| • <b>Consistency with SBF Goals</b>                            | <b>required</b>    |
| • <b>Project readiness</b>                                     | <b>required</b>    |
| • <b>Availability of O&amp;M funding</b>                       | <b>required</b>    |
| • <b>Consistency with the SBF FRCP</b>                         | <b>required</b>    |
| • <b>Consistency with DWR RMP<sup>5</sup></b>                  | <b>recommended</b> |
| • <b>Consistency with City of Oroville WF Plan<sup>6</sup></b> | <b>recommended</b> |
| • <b>Consistency with FRRPD Master Plan <sup>7</sup></b>       | <b>recommended</b> |

**Additional Criteria: (Once Staff has determined if the applicant has met the initial screening criteria, the SBF Steering Committee will evaluate the application based on the following additional criteria categories.)**

- |  |                    |
|--|--------------------|
| • <b>Ability to Attract Matching Funding</b>                                   | <b>required</b>    |
| • <b>Nexus to the Feather River</b>  | <b>required</b>    |
| • <b>Potential to Enhance Local Jobs</b>                                       | <b>recommended</b> |
| • <b>Consistency with Local Plans</b>  | <b>required</b>    |
| • <b>Enhancement of “Sustainable Development” Objectives</b>                   | <b>recommended</b> |
| • <b>Ability to Enhance Quality of Life for Residents And Attract Visitors</b> | <b>recommended</b> |

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<sup>5</sup> DWR RMP = Department of Water Resources Recreational Management Plan

<sup>6</sup> City of Oroville WF Plan = Oroville Waterfront Redevelopment Master Plan

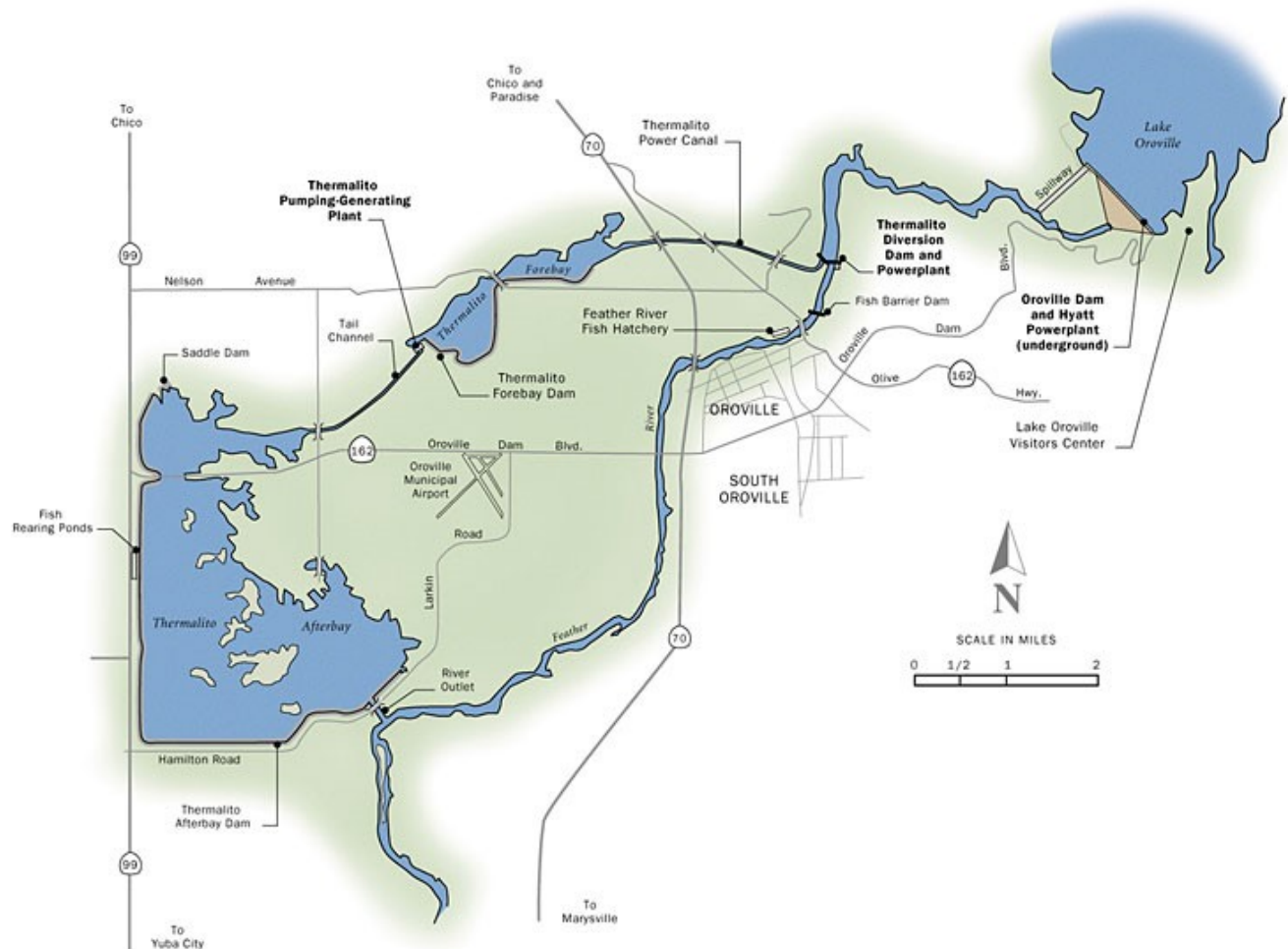
<sup>7</sup> FRRPD Master Plan = Feather River Recreation Park District 2020 Master Plan

# APPENDIX I

## FERC BOUNDARY MAP/LOW FLOW CHANNEL OF THE FEATHER RIVER

The Federal Energy Regulatory Commission (FERC) established boundaries (yellow lines) for the Oroville Facilities Project No. 2100 which includes the Oroville Dam, Hyatt Hydrogeneration Plant, Thermalito Diversion Pool, Thermalito Forebay, Thermalito Pumping and Generating Plant and other related Feather River activities. Projects approved by the Supplemental Benefits Fund Steering Committee **are to be outside of the FERC boundary.** At DWR's sole discretion and subject to FERC approval, Supplemental Benefit Funds may be used to support projects located within the Oroville Facilities' boundary, but which are not within the jurisdiction of FERC. (Appendix B of the Settlement Agreement for the licensing of the Oroville Facilities, March 2006)

### MAP OF THE OROVILLE FACILITIES (GREEN SHADING DENOTES FERC BOUNDARIES)



## Appendix J

### **ACCOUNTING AND AUDIT REQUIREMENTS** **GRANT ADMINISTRATION**

#### **A. AUDIT REQUIREMENTS**

SBF Projects are subject to audit by the SBF Steering Committee, and or, the Fund Administrator for three (3) years following the final payment of grant funds. If your Project is selected for audit, you will be contacted in advance. The audit shall include all books, papers, accounts, documents, or other records of the Grantee, as they relate to the Project for which the funds were granted.

#### **B. ACCOUNTING REQUIREMENTS**

The Grantee must maintain an accounting system that:

- Accurately reflects fiscal transactions, with the necessary controls and safeguards.
- Provides a good audit trail, including original source documents such as purchase orders, receipts, progress payments, invoices, time cards, canceled checks, etc.
- Provides accounting data so the total cost of each individual project can be readily determined.

#### **C. RECORDS RETENTION**

Project records must be retained for a period of three (3) years after final payment is made by the Fund Administrator. All project records must be retained by the Grantee at least one (1) year following an audit. Grantees are required to keep source documents for all expenditures related to each grant for at least three (3) years following project completion and one (1) year following an audit. A Project is considered complete upon receipt of final grant payment from the Fund Administrator.

#### **D. PAYMENT OF GRANT FUNDS**

Approved grants require a fully executed Grant Agreement between the Fund Administrator (City of Oroville) and the Grantee. The SBF will provide reimbursement for costs of an approved Grant Agreement as stipulated. All payments are paid on a reimbursable basis. Grantee's will submit an invoice with appropriate proof of payment (canceled checks, etc.) The Fund Administrator will verify submittals and forward them to the California State Department of Water Resources. Once funds are received by the Fund Administrator the Grantee will be issued a check.

## **E. SITE VISITS**

The SBF Project Coordinator will make periodic visits to the Project site, including a final inspection of the site. The SBF Project Coordinator will determine if the work is consistent with the approved SBF Project Scope and ensure compliance with signage requirements that identify the project as an SBF Grant.

## **F. LOSS OF FUNDING**

The following are examples of actions (some or all may apply) that may result in the Grantee's loss of funding.

- Grantee fails to sign the Grant Contract within the thirty-day time period as specified in the Grant Contract.
- Grantee withdraws from the grant program.
- Grantee loses a willing seller(s) or property cannot be acquired at Fair Market Value.
- Grantee fails to complete the funded Project within the agreed upon time frame.
- Grantee fails to submit all documentation within the time periods specified in the Grant Agreement.
- Grantee is unable to acquire CEQA compliance or any required permits.
- Grantee changes Project Scope, without prior approval from the SBF Steering Committee.

If loss of funding occurs the grantee must return any advanced funds, plus accrued interest (at the current saving rate offered by banks) to the SBF.



*162 Bridge across Lake Oroville*

# Appendix K

# Point Matrix Sample

SBF 2018 NOFA issued 09/06/2018

Points are for discussion purposes. Actual approval is provided by a vote of the SBF Steering Committee as defined in the SBF Rules of Governance.



Scored by:	Attended Workshop	Matching Funds	Project Relevance	Nexus to Feather River	SBF Goals	Local Plans	Sustainability	Quality of Life & Attract Visitors	Total Points
	5	10	15	25	15	15	1	14	100

Rating categories & maximum available points:

5	10	15	25	15	15	1	14	100
---	----	----	----	----	----	---	----	-----

Project/Request SBF Committee Member 

--	--	--	--	--	--	--	--	--	--

 (Total)

Project/Request 

									0
--	--	--	--	--	--	--	--	--	---

Project/Request SBF Committee Member 

--	--	--	--	--	--	--	--	--	--

 (Total)

Project/Request 

									0
--	--	--	--	--	--	--	--	--	---

Project/Request SBF Committee Member 

--	--	--	--	--	--	--	--	--	--

 (Total)

Project/Request SBF Committee Member 

--	--	--	--	--	--	--	--	--	--

 (Total)

Project/Request 

									0
--	--	--	--	--	--	--	--	--	---

Project/Request SBF Committee Member 

--	--	--	--	--	--	--	--	--	--

 (Total)

Project/Request 

									0
--	--	--	--	--	--	--	--	--	---

Project/Request SBF Committee Member 

--	--	--	--	--	--	--	--	--	--

Note: The Regional Fund Strategic Plan provides for a complex scoring system using "quintals" percentages, averages, etc. and was designed to accommodate the analysis of 8 or more grant applications.

A simple point/non weighted scoring system may be used for the 2018 NOFA

## **Appendix L**

### **The 2018 NOFA Appeal Process**

As part of the Regional Fund Strategic Plan (RFSP) the SBF Steering Committee established a standardized appeal process for applicants that do not meet the initial screening process. The 2018 NOFA Application combines the initial screening process and formal application into one process. The following details the approved 2018 Appeal Process:

1. Section A (Consistency with SBF Goals); Section B (Project Readiness) and Section C (Funding for Operations and Maintenance) are reviewed by SBF Staff, if the specifics of those sections are not met, the applicant is notified in writing that the application has been denied.
2. The applicant will have five (5) calendar days to appeal, in writing, the denial decision. The appeal must include reasons why their application would be subject to further review based upon the RFSP.
3. Once an appeal has been received the SBF staff will review the appeal and within fifteen (5) calendar days call for a Special Meeting of the SBF Steering Committee for review of the denial appeal.
2. After the Special Meeting, the applicant will be notified in writing within five (5) calendar days of the SBF Steering Committee's decision.
  - In the event the appeal is denied, the SBF Steering Committee decision will be considered final.
  - An appeal that is overturned by the SBF Steering Committee will be moved to the same review process of all other applications.

**Responses to denial letters must be sent, in writing, to:**

**City of Oroville  
Supplemental Benefits Fund  
Fund Administrator  
Attention: SBF Program Specialist  
1735 Montgomery Street  
Oroville, Ca 95965**

## Appendix M

The formal application for project/request funding begins on the following pages.

An interactive application is available by [clicking here](#), or at [www.cityoforoville.org](http://www.cityoforoville.org) (select Government, then SBF, then Application for 2018 NOFA funding)







**SUPPLEMENTAL BENEFITS FUND  
Oroville, California**

**Formal Project/Request Application  
2018 NOFA Offering**

*NOTE: (1) Please complete all requested information; (2) If the question is not applicable to your request enter N/A; (3) If additional space is required please attach additional pages with a reference to the section that you are continuing.*

Name of Applicant and Associated Entity (if any)

---

---

Legal status of organization:

---

Contact Information

---

Mailing address:

---

Telephone number

---

Email

---

PLEASE SELECT THE FUND, OR FUNDS, YOU ARE APPLYING FOR:

**Marketing/Community Benefit Fund:** \$25,000 minimum request with 25% minimum matching funds requirement. Total funds available in this NOFA offering is \$230,000. (Geographical consideration area: Greater Oroville Region, map on page 7)

Amount requested: \$ \_\_\_\_\_

-----

**Project Fund:** \$25,000 minimum request with 50% minimum matching funds requirement. Total funds available in this NOFA offering is \$2,070,000. (Geographical consideration area: Reaches 1-6 as defined by the Feather River Conceptual Plan, A Vision for the Low Flow Channel of the Feather River, map on page 8)

Amount requested: \$ \_\_\_\_\_

-----

**Revolving Loan Fund:** \$25,000 minimum request with 50% matching funds requirement. Total funds available in this NOFA offering is \$230,000. (Geographical consideration area: Reaches 1-6 as defined by the Feather River Conceptual Plan, A Vision for the Low Flow Channel of the Feather River, map on page 8)

(NOTE: Available funding in the Project Fund and the Revolving Loan Fund may be combined in the applicant's request)

Amount requested: \$ \_\_\_\_\_

-----

## 1. PROJECT DESCRIPTION

*(The Project Description may vary widely in length depending on the size and scope of the project that would be funded, and the size of the grant being requested. A useful structure to assist the readers and decision makers is to break the project down into component goals, each with its own heading and complete description. If applicable, comments about project staff experience and how the overall project will be measured and sustained)*

## 2. ORGANIZATION DESCRIPTION

### 3. CONSISTENCY WITH SBF GOALS

Place a check-mark next to each of the SBF Goals that are consistent with your request

- Provide multiple recreational opportunities that utilize and enhance access to existing resources within the boundaries of the Feather River Plan. (SBF 2014)
- Encourage secure and managed access for all segments of the populations, with connections to the surrounding community and future development. (SBF 2014)
- Ensures the continued success of habitat restoration and improve the ecological health of the river and floodplain in concert with river restoration goals. (SBF 2014)
- Ensures proposed projects complement the Department of Water Resources (DWR) Recreation Management Plan (RMP). (RFSP/2010)
- Maximizes SBF funding capacity by demonstrating leverage – the project has multiple sources of funding, of which SBF funding is only a part. (RFSP/2010)
- Generates other benefits and revenue(s) to the local community.

SBF 2014 = Refined goals approved October 1, 2014

RFSP = Regional Fund Strategic Plan approved April 27, 2010

## 4. PROJECT SELECTION CRITERIA

### A. ABILITY TO ATTRACT MATCHING FUNDS

Please quantify the amount of matching funds, or value, of the non-SBF funding as compared to the total project cost. The matching funds amount should be expressed as a dollar and percentage value. Please note that the matching value may include donated time, materials, or other in-kind donations, that are used to complete the project. Please provide documentation to support the matching estimates.

CONFIRMED FUNDS:                   \$ \_\_\_\_\_ % \_\_\_\_\_

ESTIMATED FUNDS:                 \$ \_\_\_\_\_ % \_\_\_\_\_

CONFIRMED IN-KIND VALUE:       \$ \_\_\_\_\_ % \_\_\_\_\_

ESTIMATED IN-KIND VALUE:       \$ \_\_\_\_\_ % \_\_\_\_\_

Comments (optional)

## 4. PROJECT SELECTION CRITERIA

### B. NEXUS TO THE FEATHER RIVER

A project's nexus to the Feather River will be evaluated using the following criterion.  
*(Nexus = connection, link; refer to the SBF Vision Statement for additional clarification)*

1. Physical proximity to the river,
2. Link to river recreation, or
3. Other river nexus, such as riparian restoration.

Please explain how the proposed project has a nexus with the Feather River. You may include maps, other graphic detail, or additional information that demonstrates the project's nexus with the Feather River.

#### **4. PROJECT SELECTION CRITERIA**

##### **C. ENHANCE QUALITY OF LIFE FOR LOCAL RESIDENTS & ATTRACT VISITORS**

Please describe how the proposed project will enhance the quality of life for local residents and how the project will help to attract visitors to the region. SBF approved projects are intended to be recreational & related projects that help stimulate economic development in the Oroville region. Considerations might include:

1. Availability of the project to local residents.
2. Increase in levels of service to local residents.
3. Project uniqueness.
4. Appeal to visitors (local, regional, and others).



## **5. OPTIONAL ADDITIONAL INFORMATION**

You may provide pertinent studies, data, or other information that might help the SBF Steering Committee evaluate the value of the proposed project as identified by the evaluation criteria described above and in the SBF Regional Fund Strategic Plan. While additional supplemental information might help with the project evaluation, providing additional information does not guarantee that a proposed project would receive more favorable consideration than if the additional material were not provided.

**NOTE: COMPLETE ITEMS (6-8)  
ONLY IF THEY ARE APPLICABLE TO YOUR REQUEST**

**6. PROJECT READINESS:**

Status of Project Planning:	Anticipated Date	Prepared by
Planning Studies	_____	_____
Preliminary Design	_____	_____
Cost Analysis	_____	_____
Final Design	_____	_____
Construction Bids Submitted	_____	_____
Construction Period	_____	_____
First year of Stabilized Operations	_____	_____

**7. CEQA CLEARANCE**

CEQA Clearance(s) Required & Date Obtained or Anticipated: <i>(CEQA = California Environmental Quality Act)</i>	
Notice of Exemption	_____
Negative Declaration	_____
Environmental Impact Report	_____
Unknown	_____

**8. FUNDING FOR OPERATIONS AND MAINTENANCE**

Identify the source(s) of funding for the operations and maintenance of the project and indicate whether, or not, the funding has been secured:

PRIMARY FUNDING SOURCE(S) HAS FUNDING BEEN SECURED?

\_\_\_ Public Agency: \_\_\_\_\_ \_\_\_ Yes \_\_\_ No

\_\_\_ Private Entity: \_\_\_\_\_ \_\_\_ Yes \_\_\_ No

\_\_\_ Other (Provide details)



**APPLICANT ACKNOWLEDGEMENT AND SIGNATURE**

\_\_\_\_\_  
Authorized Signature

\_\_\_\_\_  
Date

Name and Title (Please type or print)



**SEND COMPLETED APPLICATION AND 3 COPIES TO**

City of Oroville  
Supplemental Benefits Fund  
SBF Program Specialist  
1735 Montgomery Street  
Oroville, Ca 95965

Applications must be received by:  
4:00 P.M. (PDT)  
Thursday, October 25, 2018

**FAXED OR ELECTRONICALLY TRANSMITTED  
APPLICATIONS WILL NOT BE ACCEPTED**